

### Going Public\* Count: 159 (TSXV:81 TSX:78)

(\*Includes New Listings, IPOs, CPC IPOs, QTs, RTOs, Graduates and Other)

### 99 Companies+11 CPCs+35 ETFs+14 Structured Products

listed on TSX Venture Exchange (81) and TSX (78) YTD August 2014

### 11 New International Listings YTD August 2014

#### TSXV:

**Australia:** West African Resources Limited, Mining, Australia

**USA:** OneRoof Energy Group, Inc., Clean Technology & Renewable Energy, San Diego, CA

UGE International Ltd., Clean Technology & Renewable Energy, New York, NY

Graphene 3D Lab Inc., Technology, Calverton, NY

**Asia:** New Era Minerals Inc., Mining, Hong Kong

**Other:** Petro-Victory Energy Corp., Oil & Gas, British Virgin Islands

#### TSX:

**Africa:** Atlatsa Resources Corporation, Mining, South Africa

**Australia:** Champion Iron Limited, Mining, Australia

Heron Resources Limited, Mining, Australia

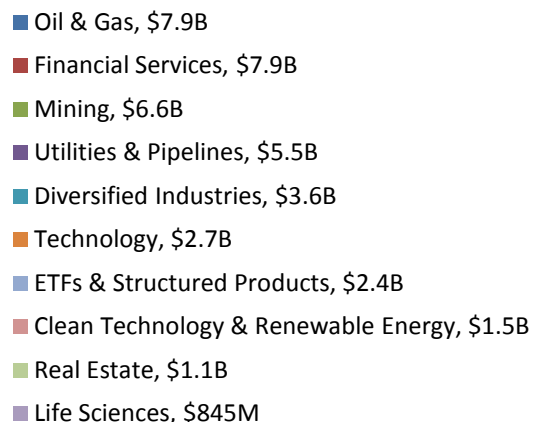
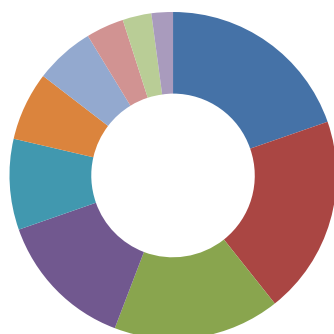
**UK/Europe:** Endo International plc, Life Sciences, Ireland

**USA:** Northern Power Systems Corp., Clean Technology & Renewable Energy, Barre, VT

### Equity Capital Raised by Sector

#### TSX | TSXV

YTD August 2014



### BY THE NUMBERS

**\$40.1 billion** Equity Capital Raised on TSXV and TSX YTD August 2014

**\$3.2 million**

Average financing size on TSXV

**\$83.0 million**

Average financing size on TSX

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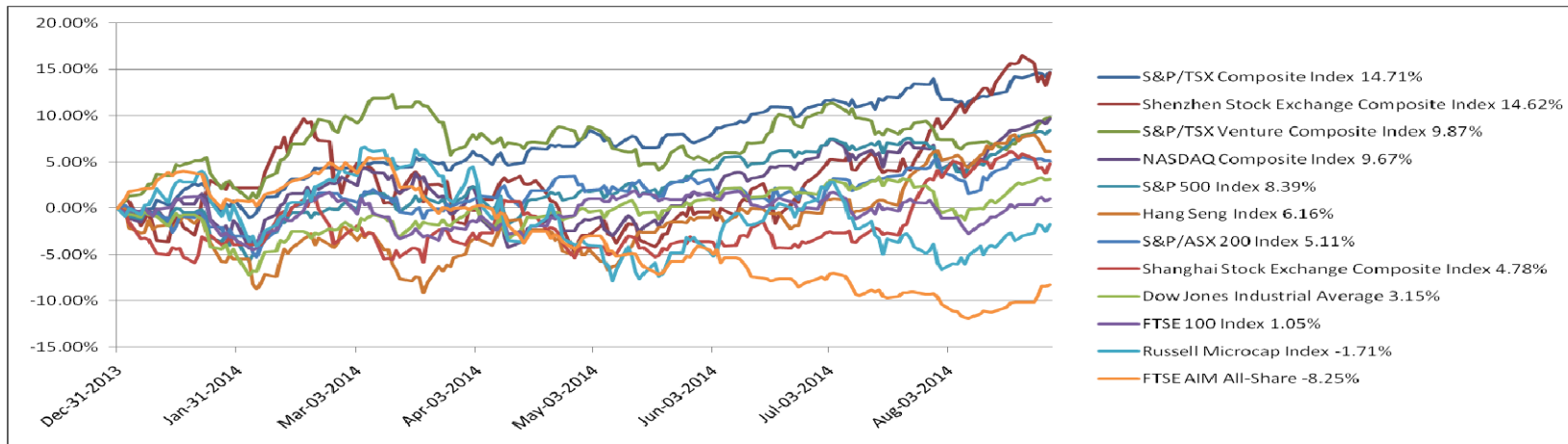
<http://tmx.com/en/mig/register.html>

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## What's Going on Generally YTD/as at August 31, 2014

YTD/as at August 31, 2014	TSX Venture Exchange	TSXV Last Year	% Change	Toronto Stock Exchange	TSX Last Year	% Change	TSXV and TSX	TSXV and TSX Last Year	% Change
Number of Issuers	2,005	2,172	-8%	1,501	1,559	-4%	3,506	3,731	-6%
QMV (C\$ Billions)	35.7	33.4	7%	2,649.4	2,159.5	23%	2,685.1	2,192.9	22%
<b>Going Public Activity/New Listings</b>	<b>81</b>	<b>102</b>	<b>-21%</b>	<b>78</b>	<b>71</b>	<b>10%</b>	<b>159</b>	<b>173</b>	<b>-8%</b>
IPOs (ex CPCs/ETFs/SPs)	4	8	-50%	7	13	-46%	11	21	-48%
CPC IPOs	11	27	-59%				11	27	-59%
ETF & SP IPOs				48	41	17%	48	41	17%
Qualifying Transactions (QTs)	30	44	-32%				30	44	-32%
TSXV RTOs / TSX Substitutionals	12	9	33%				12	9	33%
NEX RTOs	1	2	-50%				1	2	
Grads				15	12	25%	15	12	25%
Other	23	12	92%	8	5	60%	31	17	82%
<b>Equity Capital Raised (C\$ Millions)</b>	<b>3,513.5</b>	<b>2,201.1</b>	<b>60%</b>	<b>36,594.5</b>	<b>25,731.5</b>	<b>42%</b>	<b>40,107.9</b>	<b>27,932.6</b>	<b>44%</b>
Initial Public Offerings	67.7	70.6	-4%	4,062.1	3,776.3	8%	4,129.8	3,846.8	7%
Public Offerings/Supplementary	1,074.1	628.0	71%	29,196.9	19,702.8	48%	30,271.1	20,330.8	49%
Private Placements	2,371.6	1,502.5	58%	3,335.4	2,252.5	48%	5,707.1	3,755.0	52%
Number of Financings	1,093	1,024	7%	441	354	25%	1,534	1,378	11%
Volume Traded (Billions of Shares)	26.8	24.0	12%	50.8	53.1	-4%	77.6	77.1	1%
Value Traded (C\$ Billions)	11.1	8.9	24%	768.8	789.9	-3%	779.9	798.8	-2%
# of Trades (Millions)	4.2	3.7	14%	117.3	113.6	3%	121.4	117.2	4%
S&P/TSX/TSXV Composite Indices	1,024.0	939.7	9%	15,625.7	12,653.9	23%			

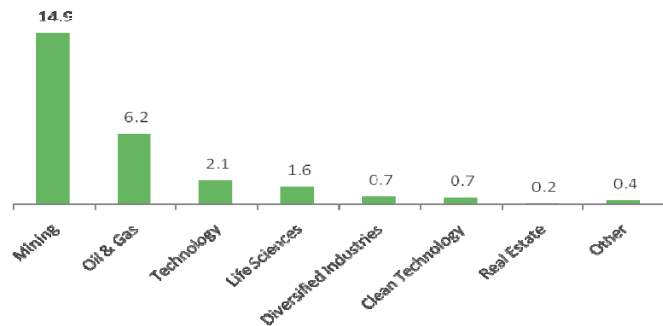
## Comparison of Major Index Performance -- YTD August 31, 2014 (Source: Capital IQ)



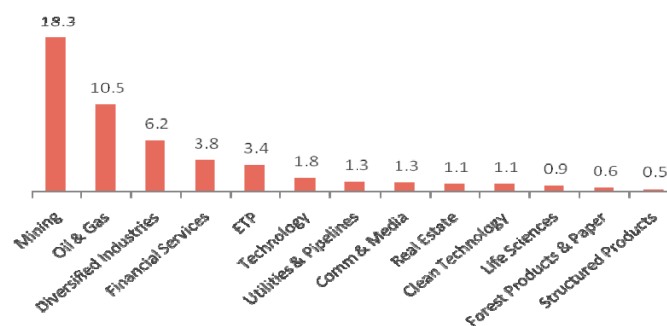
## What's Listed on TSX and TSX Venture Exchange as at August 31, 2014

Sector	TSX Venture Exchange				Toronto Stock Exchange				TSXV and TSX			
	Listed Issuers		Total Market Cap (\$ Millions)		Listed Issuers		Total Market Cap (\$ Millions)		Listed Issuers		Total Market Cap (\$ Millions)	
Clean Technology & Renewable Energy	76	4%	1,267	4%	43	3%	25,527	1%	119	3%	26,793	1%
Comm. & Media	17	1%	949	3%	32	2%	160,592	6%	49	1%	161,541	6%
CPC	67	3%	33	0.1%					67	2%	33	0%
Diversified Industries	121	6%	2,336	7%	202	13%	485,597	18%	323	9%	487,933	18%
ETFs			-		313	21%	80,738	3%	313	9%	80,738	3%
Financial Services	46	2%	3,379	9%	79	5%	727,502	27%	125	4%	730,881	27%
Forest Products & Paper	3	0.1%	57	0.2%	17	1%	22,389	1%	20	1%	22,446	1%
Life Sciences	70	3%	1,893	5%	41	3%	59,991	2%	111	3%	61,884	2%
Mining	1,220	61%	13,267	37%	300	20%	275,206	10%	1,520	43%	288,474	11%
Oil & Gas	235	12%	9,133	26%	108	7%	444,849	17%	343	10%	453,982	17%
Real Estate	40	2%	883	2%	70	5%	85,584	3%	110	3%	86,467	3%
Structured Products			-		211	14%	27,940	1%	211	6%	27,940	1%
Technology	107	5%	2,318	7%	60	4%	70,665	3%	167	5%	72,983	3%
Utilities & Pipelines	3	0.1%	139	0.4%	25	2%	182,868	7%	28	1%	183,007	7%
<b>TOTAL</b>	<b>2,005</b>	<b>100%</b>	<b>35,654</b>	<b>100%</b>	<b>1,501</b>	<b>100%</b>	<b>2,649,448</b>	<b>100%</b>	<b>3,506</b>	<b>100%</b>	<b>2,685,102</b>	<b>100%</b>
<b>Region</b>												
British Columbia	1,030	51%	11,814	33%	176	12%	182,553	7%	1206	34%	194,367	7%
Alberta	266	13%	9,816	28%	195	13%	740,785	28%	461	13%	750,601	28%
Prairies	28	1%	520	1%	27	2%	100,869	4%	55	2%	101,389	4%
Ontario	388	19%	8,184	23%	835	56%	1,073,211	41%	1223	35%	1,081,395	40%
Quebec	129	6%	1,612	5%	105	7%	360,687	14%	234	7%	362,298	13%
Atlantic Canada	24	1%	312	1%	25	2%	34,169	1%	49	1%	34,481	1%
International	140	7%	3,397	10%	138	9%	157,175	6%	278	8%	160,572	6%
International Breakdown:												
USA	81	58%	1,878	55%	65	47%	101,776	65%	146	53%	103,654	65%
Australia/NZ/PNG	10	7%	210	6%	19	14%	2,845	2%	29	10%	3,055	2%
Asia	27	19%	252	7%	10	7%	3,562	2%	37	13%	3,814	2%
UK/Europe	8	6%	286	8%	18	13%	21,992	14%	26	9%	22,278	14%
Africa	1	1%	124	4%	6	4%	2,115	1%	7	3%	2,240	1%
Latin America	9	6%	266	8%	11	8%	8,871	6%	20	7%	9,136	6%
Other	4	3%	380	11%	9	7%	16,015	10%	13	5%	16,396	10%
<b>TOTAL</b>	<b>2,005</b>	<b>100%</b>	<b>35,654</b>	<b>100%</b>	<b>1,501</b>	<b>100%</b>	<b>2,649,448</b>	<b>100%</b>	<b>3,506</b>	<b>100%</b>	<b>2,685,102</b>	<b>100%</b>

Volume Traded YTD August 31, 2014 on TSXV by Sector (Billions of Shares)



Volume Traded YTD August 31, 2014 on TSX by Sector (Billions of Shares)



\*Other includes Comm. & Media, Financial Services, Forest Products, Utilities & Pipelines & CPCs.

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**What's New on TSX and TSX Venture Exchange YTD August 31, 2014**

TSXV and TSX Sector	YTD August-2014				YTD August-2013			
	Canada	US	Int'l	Total	Canada	US	Int'l	Total
Clean Tech & Renewable Energy	3	4	-	7	2	-	-	2
Comm. & Media	-	-	-	-	2	-	-	2
CPC	11	-	-	11	27	-	-	27
Diversified Industries	5	-	-	5	4	-	-	4
ETFs	35	-	-	35	25	-	-	25
Financial Services	6	-	-	6	3	-	-	3
Forest Products & Paper	1	-	-	1	1	-	-	1
Life Sciences	11	-	1	12	2	1	-	3
Mining	29	-	5	34	46	3	1	50
Oil & Gas	14	-	1	15	8	-	1	9
Real Estate	8	-	-	8	14	3	2	19
Structured Products	14	-	-	14	16	-	-	16
Technology	10	1	-	11	9	3	-	12
Utilities & Pipelines	-	-	-	-	-	-	-	-
<b>Total</b>	<b>147</b>	<b>5</b>	<b>7</b>	<b>159</b>	<b>159</b>	<b>10</b>	<b>4</b>	<b>173</b>

TSX Venture Exchange Sector	Going Public Activity								IPOs				QTs				RTOs				Other			
	YTD August-2014				YTD August-2013				YTD August-2014				YTD August-2014				YTD August-2014				YTD August-2014			
	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total
Clean Tech & Renewable Energy	2	3	-	5	-	-	-	-	1	-	-	1	1	3	-	-	-	-	-	-	-	-	-	-
Comm. & Media	-	-	-	-	2	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
CPC	11	-	-	11	27	-	-	27	11	-	-	11	-	-	-	-	-	-	-	-	-	-	-	-
Diversified Industries	3	-	-	3	3	-	-	3	-	-	-	3	-	-	-	-	-	-	-	-	-	-	-	-
ETFs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Financial Services	5	-	-	5	2	-	-	2	-	-	-	-	-	-	2	-	-	2	-	3	-	-	3	-
Forest Products & Paper	-	-	-	-	1	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Life Sciences	7	-	-	7	2	1	-	3	-	-	-	3	-	-	1	-	1	1	-	3	-	-	3	-
Mining	25	-	2	27	42	2	1	45	-	-	-	10	-	1	11	3	-	3	-	12	-	1	13	-
Oil & Gas	7	-	1	8	4	-	1	5	1	1	2	2	-	-	2	1	-	1	-	3	-	-	3	-
Real Estate	7	-	-	7	5	1	-	6	1	-	1	4	-	-	4	2	-	2	-	-	-	-	-	-
Structured Products	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Technology	7	1	-	8	5	3	-	8	-	-	-	3	-	-	3	3	1	4	-	1	-	-	1	-
Utilities & Pipelines	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total</b>	<b>74</b>	<b>4</b>	<b>3</b>	<b>81</b>	<b>93</b>	<b>7</b>	<b>2</b>	<b>102</b>	<b>14</b>	<b>-</b>	<b>1</b>	<b>15</b>	<b>26</b>	<b>3</b>	<b>1</b>	<b>30</b>	<b>12</b>	<b>1</b>	<b>-</b>	<b>13</b>	<b>22</b>	<b>-</b>	<b>1</b>	<b>23</b>

Toronto Stock Exchange Sector	IPO								Graduates				Other							
	YTD August-2014				YTD August-2013				YTD August-2014				YTD August-2014							
	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total	Canada	US	Int'l	Total				
Clean Tech & Renewable Energy	1	1	-	2	2	-	-	2	1	-	-	1	1	-	-	-				
Comm. & Media	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
CPC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-				
Diversified Industries	2	-	-	2	1	-	-	1	2	-	-	2	-	-	-					
ETFs	35	-	-	35	25	-	-	25	35	-	-	35	-	-	-					
Financial Services	1	-	-	1	1	-	-	1	1	-	-	1	-	-	-					
Forest Products & Paper	1	-	-	1	-	-	-	-	1	-	-	1	-	-	-					
Life Sciences	4	-	1	5	-	-	-	-	4	-	-	4	-	1	1					
Mining	4	-	3	7	4	1	-	5	-	1	1	2	3	2	5					
Oil & Gas	7	-	-	7	4	-	-	4	3	-	-	4	-	-	-					
Real Estate	1	-	-	1	9	2	2	13	-	-	-	-	1	-	1					
Structured Products	14	-	-	14	16	-	-	16	13	-	-	13	-	1	1					
Technology	3	-	-	3	4	-	-	4	2	-	-	1	-	-	1					
Utilities & Pipelines	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-					
<b>Total</b>	<b>73</b>	<b>1</b>	<b>4</b>	<b>78</b>	<b>66</b>	<b>3</b>	<b>2</b>	<b>71</b>	<b>55</b>	<b>-</b>	<b>-</b>	<b>55</b>	<b>13</b>	<b>1</b>	<b>1</b>	<b>15</b>	<b>5</b>	<b>-</b>	<b>3</b>	<b>8</b>

**What's Financed on TSX and TSX Venture Exchange by Sector (YTD August 31, 2014)**

Sector	TSX Venture Exchange (C\$Millions)				Toronto Stock Exchange (C\$ Millions)				TSXV and TSX (C\$ Millions)			
	IPO	PO	PP	Total	IPO	PO*	PP	Total	IPO	PO	PP	Total
Clean Technology & Renewable Energy	11.0	8.1	90.2	109.3	115.0	1,111.3	162.4	1,388.7	126.0	1,119.4	252.6	1,498.0
Comm. & Media	-	9.1	11.6	20.7	-	-	-	-	-	9.1	11.6	20.7
CPC	6.1	-	5.3	11.4	-	-	-	-	6.1	-	5.3	11.4
Diversified Industries	-	131.5	66.4	197.8	-	2,682.9	634.7	3,317.5	-	2,814.3	701.1	3,515.4
ETFs	-	-	-	-	165.9	2.5	-	168.4	165.9	2.5	-	168.4
Financial Services	-	28.8	154.9	183.7	252.0	7,407.1	29.8	7,689.0	252.0	7,435.9	184.8	7,872.7
Forest Products & Paper	-	-	3.3	3.3	-	63.4	-	63.4	-	63.4	3.3	66.7
Life Sciences	-	142.5	408.7	551.2	-	193.9	100.1	294.0	-	336.4	508.7	845.2
Mining	-	174.4	961.2	1,135.6	-	4,603.9	871.8	5,475.6	-	4,778.3	1,833.0	6,611.3
Oil & Gas	27.3	450.1	510.9	988.3	1,974.0	4,302.2	624.8	6,901.0	2,001.3	4,752.3	1,135.7	7,889.3
Real Estate	23.2	74.7	52.1	150.1	-	948.3	49.9	998.2	23.2	1,023.1	102.0	1,148.2
Structured Products	-	-	-	-	1,460.2	724.7	10.5	2,195.4	1,460.2	724.7	10.5	2,195.4
Technology	-	54.9	107.0	162.0	95.0	1,819.4	645.5	2,559.9	95.0	1,874.3	752.6	2,721.9
Utilities & Pipelines	-	-	-	-	-	5,337.3	206.0	5,543.3	-	5,337.3	206.0	5,543.3
<b>TOTAL</b>	<b>67.7</b>	<b>1,074.1</b>	<b>2,371.6</b>	<b>3,513.5</b>	<b>4,062.1</b>	<b>29,196.9</b>	<b>3,335.4</b>	<b>36,594.5</b>	<b>4,129.8</b>	<b>30,271.1</b>	<b>5,707.1</b>	<b>40,107.9</b>

\*Includes supplementary & secondary offerings

**Top 10 Financings for the Month of August 2014**

**TSXV Top 10 Financings**

1. Probe Mines Limited, Mining - \$26M Private Placement
2. Northern Frontier Corp., Energy Services - \$20M Public Offering
3. Ceiba Energy Services Inc., Clean Technology - \$16M Private Placement
4. Canadian Overseas Petroleum Limited, Oil & Gas - \$10M Public Offering
5. Pershimco Resources Inc., Mining - \$10M Private Placement
6. Crailar Technologies Inc., Clean Technology - \$8M Public Offering
7. Rathdowney Resources Ltd, Mining - \$7M Private Placement
8. Gold Standard Ventures Corp., Mining - \$7M Public Offering
9. UGE International Ltd., Clean Technology - \$5M Private Placement
10. Dolly Varden Silver Corporation, Mining - \$5M Private Placement

**TSX Top 10 Financings**

1. Franco-Nevada Corporation, Mining - \$549M Secondary Offering
2. AltaGas Ltd., Energy Services - \$460M Secondary Offering
3. Northern Blizzard Resources Inc., Oil & Gas - \$350M Initial Public Offering
4. Manulife Financial Corporation, Financial Services - \$350M Secondary Offering
5. EnerCare Inc., Diversified Industries - \$333M Secondary Offering
6. TransAlta Corporation, Utilities & Pipelines - \$165M Secondary Offering
7. Cardinal Energy Ltd., Oil & Gas - \$163M Secondary Offering
8. Equitable Group Inc., Financial Services - \$75M Secondary Offering
9. Oando Energy Resources Inc., Oil & Gas - \$55M Private Placement
10. Retrocom Real Estate Investment Trust, Real Estate - \$52M Secondary Offering

**What's Happening by Sector (YTD August 31, 2014)**

	TSX Venture	TSX	TSXV and TSX
<b>Mining</b>			
Number of Issuers	1,220	300	<b>1,520</b>
QMV (C\$)	13,267,429,714	275,206,468,371	<b>288,473,898,085</b>
New Listings	27	7	<b>34</b>
Equity Capital Raised (C\$)	1,135,625,951	5,475,640,742	<b>6,611,266,693</b>
Number of Financings	837	151	<b>988</b>
Volume Traded	14,894,928,933	18,348,475,596	<b>33,243,404,529</b>
Value Traded (C\$)	2,956,613,303	114,942,701,934	<b>117,899,315,237</b>
# of Trades	1,707,806	28,512,285	<b>30,220,091</b>
<b>Oil and Gas</b>			
Number of Issuers	235	108	<b>343</b>
QMV (C\$)	9,132,597,369	444,849,454,483	<b>453,982,051,852</b>
New Listings	8	7	<b>15</b>
Equity Capital Raised (C\$)	988,316,190	6,900,971,519	<b>7,889,287,709</b>
Number of Financings	120	51	<b>171</b>
Volume Traded	6,168,369,631	10,477,770,588	<b>16,646,140,219</b>
Value Traded (C\$)	4,933,936,669	157,167,084,109	<b>162,101,020,777</b>
# of Trades	1,215,915	26,132,964	<b>27,348,879</b>
<b>Energy Services</b>			
Number of Issuers	33	45	<b>78</b>
QMV (C\$)	1,525,048,869	51,430,856,392	<b>52,955,905,261</b>
New Listings	-	-	<b>-</b>
Equity Capital Raised (C\$)	119,838,382	811,998,950	<b>931,837,332</b>
Number of Financings	13	7	<b>20</b>
Volume Traded	413,092,368	1,728,429,866	<b>2,141,522,234</b>
Value Traded (C\$)	453,398,628	20,360,496,592	<b>20,813,895,220</b>
# of Trades	128,543	4,662,892	<b>4,791,435</b>
<b>Financial Services</b>			
Number of Issuers	46	79	<b>125</b>
QMV (C\$)	3,378,939,881	727,502,137,145	<b>730,881,077,026</b>
New Listings	5	1	<b>6</b>
Equity Capital Raised (C\$)	183,706,201	7,688,982,917	<b>7,872,689,118</b>
Number of Financings	12	33	<b>45</b>
Volume Traded	126,573,529	3,841,225,367	<b>3,967,798,896</b>
Value Traded (C\$)	115,532,398	160,403,298,072	<b>160,518,830,470</b>
# of Trades	29,306	15,030,782	<b>15,060,088</b>
<b>ETFs</b>			
Number of Issuers		313	<b>313</b>
QMV (C\$)		80,737,894,341	<b>80,737,894,341</b>
New Listings		35	<b>35</b>
Volume Traded		3,369,577,882	<b>3,369,577,882</b>
Value Traded (C\$)		44,064,447,927	<b>44,064,447,927</b>
# of Trades		3,827,332	<b>3,827,332</b>

	TSX Venture	TSX	TSXV and TSX
<b>Clean Technology &amp; Renewable Energy</b>			
Number of Issuers	76	43	<b>119</b>
QMV (C\$)	1,266,874,302	25,526,594,764	<b>26,793,469,066</b>
New Listings	5	2	<b>7</b>
Equity Capital Raised (C\$)	109,336,583	1,388,709,519	<b>1,498,046,102</b>
Number of Financings	53	18	<b>71</b>
Volume Traded	657,463,968	1,056,320,781	<b>1,713,784,749</b>
Value Traded (C\$)	306,223,633	5,984,425,619	<b>6,290,649,252</b>
# of Trades	122,886	1,837,387	<b>1,960,273</b>
<b>Life Sciences</b>			
Number of Issuers	70	41	<b>111</b>
QMV (C\$)	1,893,301,340	59,990,720,098	<b>61,884,021,438</b>
New Listings	7	5	<b>12</b>
Equity Capital Raised (C\$)	551,158,920	294,033,166	<b>845,192,086</b>
Number of Financings	54	22	<b>76</b>
Volume Traded	1,598,671,077	874,664,964	<b>2,473,336,041</b>
Value Traded (C\$)	1,089,934,349	11,755,732,791	<b>12,845,667,140</b>
# of Trades	437,075	1,193,366	<b>1,630,441</b>
<b>Technology</b>			
Number of Issuers	107	60	<b>167</b>
QMV (C\$)	2,317,998,039	70,665,449,493	<b>72,983,447,532</b>
New Listings	8	3	<b>11</b>
Equity Capital Raised (C\$)	161,962,077	2,559,944,192	<b>2,721,906,269</b>
Number of Financings	61	14	<b>75</b>
Volume Traded	2,138,848,175	1,834,253,982	<b>3,973,102,157</b>
Value Traded (C\$)	1,043,830,211	27,601,732,618	<b>28,645,562,828</b>
# of Trades	389,351	5,182,305	<b>5,571,656</b>
<b>Real Estate</b>			
Number of Issuers	40	70	<b>110</b>
QMV (C\$)	882,931,013	85,583,651,170	<b>86,466,582,183</b>
New Listings	7	1	<b>8</b>
Equity Capital Raised (C\$)	150,066,275	998,169,563	<b>1,148,235,838</b>
Number of Financings	20	21	<b>41</b>
Volume Traded	155,121,774	1,057,253,211	<b>1,212,374,985</b>
Value Traded (C\$)	286,191,166	18,586,954,736	<b>18,873,145,902</b>
# of Trades	37,766	3,711,858	<b>3,749,624</b>